

## EXHIBIT C

NY01 621251 v 2



PATENT  
[10020/15302]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : THOMPSON et al.  
U.S. Serial No. : 09/883,734  
Filing Date : June 18, 2001  
For : **ORGANOMETALLIC COMPLEXES AS  
PHOSPHORESCENT EMITTERS IN ORGANIC  
LEDS**  
Examiner : YAMNITZKY, M. R.  
Art Unit : 1774

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

Date: \_\_\_\_\_

Signature: \_\_\_\_\_  
Thomas F. Meagher (Reg. No. 29,831)

**DECLARATION UNDER 37 C.F.R. § 1.132**

SIR:

I, Mark E. Thompson, hereby declare and state as follows:

1. I, along with Peter Djurovich, Sergey Lamansky, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter disclosed and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001), which is a continuation-in-part of U.S. patent application no. 09/274,609 (filed on March 23, 1999), U.S.

patent application no. 09/452,346 (filed on December 1, 1999), and U.S. patent application no. 09/311,126 (filed on May 13, 1999). I have read and understood each of these aforementioned U.S. patent applications. The currently pending claims in U.S. patent application no. 09/883,734 (filed on June 18, 2001) are attached hereto as Exhibit A.

2. I, along with Sergey Lamansky, Stephen R. Forrest and Marc A. Baldo, contributed to the conception of the subject matter disclosed in U.S. patent application no. 09/274,609 (filed on March 23, 1999), and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 100, 106-112, and 141. This subject matter was originally conceived by us prior to March 21, 1999.

3. I, along with Sergey Lamansky, Stephen R. Forrest and Marc A. Baldo, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 135-139. This subject matter was originally conceived by us prior to March 21, 1999.

4. I, along with Sergey Lamansky, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 104 and 105.

5. I, along with Peter Djurovich, Sergey Lamansky, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 92-94, 98, 101-103 and 140.

6. I am a co-author of the abstract entitled “Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs,” by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999, which is attached hereto as Exhibit B.

7. The subject matter disclosed in the abstract entitled “Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs,” by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999 (Exhibit B), originated from the original conception, by myself, Sergey Lamansky, Stephen R. Forrest and Marc A. Baldo, of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 100, 106-112, 135-139 and 141. Thus, to the extent that any subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 100, 106-112, 135-139 and 141 is disclosed in or suggested by the abstract entitled “Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs,” by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999 (Exhibit B), this subject matter was originally conceived by myself, Sergey Lamansky, Stephen R. Forrest and Marc A. Baldo prior to March 21, 1999.

8. I have read and understood the unsigned Declarations under 37 C.F.R. § 1.132 of Peter Djurovich, Sergey Lamansky, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows (which are attached hereto collectively as Exhibit C), and I do not know of any facts or evidence which would contradict anything declared therein.

9. I further declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that

the undersigned acknowledges that any false statements and the like so made are punishable by fine or imprisonment or both under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any patent that issues from U.S. Application Serial No. 09/883,734.

Date: \_\_\_\_\_

\_\_\_\_\_  
Mark E. Thompson

PATENT  
[10020/15302]

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Date: \_\_\_\_\_

Signature: \_\_\_\_\_  
Thomas F. Meagher (Reg. No. 29,831)

**DECLARATION UNDER 37 C.F.R. § 1.132**

SIR:

I, Peter Djurovich, hereby declare and state as follows:

1. I, along with Mark E. Thompson, Sergey Lamansky, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter disclosed and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001), which is a continuation-in-part of U.S. patent application no. 09/274,609 (filed on March 23, 1999),

U.S. patent application no. 09/452,346 (filed on December 1, 1999), and U.S. patent application no. 09/311,126 (filed on May 13, 1999). I have read and understood each of these aforementioned U.S. patent applications. The currently pending claims in U.S. patent application no. 09/883,734 (filed on June 18, 2001) are attached hereto as Exhibit A.

2. I, along with Mark E. Thompson, Sergey Lamansky, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 92-94, 98, 101-103 and 140.

3. I have read and understood the abstract entitled "Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs," by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999, which is attached hereto as Exhibit B.

4. I have read and understood the unsigned Declarations under 37 C.F.R. § 1.132 of Mark E. Thompson, Sergey Lamansky, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows (which are attached hereto collectively as Exhibit C), and I do not know of any facts or evidence which would contradict anything declared therein.

5. I further declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that the undersigned acknowledges that any false statements and the like so made are punishable by fine or imprisonment or both under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any patent that issues from U.S.

Application Serial No. 09/883,734.

Date: \_\_\_\_\_

\_\_\_\_\_  
Peter Djurovich



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. Serial No. : 09/883,734  
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Date: \_\_\_\_\_  
Signature: \_\_\_\_\_  
Thomas F. Meagher (Reg. No. 29,831)

DECLARATION UNDER 37 C.F.R. § 1.132

SIR:

I, Sergey Lamansky, hereby declare and state as follows:

1. I, along with Mark E. Thompson, Peter Djurovich, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter disclosed and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001), which is a continuation-in-part of U.S. patent application no. 09/274,609 (filed on March 23, 1999),

U.S. patent application no. 09/452,346 (filed on December 1, 1999), and U.S. patent application no. 09/311,126 (filed on May 13, 1999). I have read and understood each of these aforementioned U.S. patent applications. The currently pending claims in U.S. patent application no. 09/883,734 (filed on June 18, 2001) are attached hereto as Exhibit A.

2. I, along with Mark E. Thompson, Stephen R. Forrest and Marc A. Baldo, contributed to the conception of the subject matter disclosed in U.S. patent application no. 09/274,609 (filed on March 23, 1999), and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 100, 106-112, and 141. This subject matter was originally conceived by us prior to March 21, 1999.

3. I, along with Mark E. Thompson, Stephen R. Forrest and Marc A. Baldo, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 135-139. This subject matter was originally conceived by us prior to March 21, 1999.

4. I, along with Mark E. Thompson, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 104 and 105.

5. I, along with Mark E. Thompson, Peter Djurovich, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 92-94, 98, 101-103 and 140.

6. I am a co-author of the abstract entitled “Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs,” by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999, which is attached hereto as Exhibit B.

7. The subject matter disclosed in the abstract entitled “Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs,” by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999 (Exhibit B), originated from the original conception, by myself, Mark E. Thompson, Stephen R. Forrest and Marc A. Baldo, of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 100, 106-112, 135-139 and 141. Thus, to the extent that any subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 100, 106-112, 135-139 and 141 is disclosed in or suggested by the abstract entitled “Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs,” by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999 (Exhibit B), this subject matter was originally conceived by myself, Mark E. Thompson, Stephen R. Forrest and Marc A. Baldo prior to March 21, 1999.

8. I have read and understood the unsigned Declarations under 37 C.F.R. § 1.132 of Mark E. Thompson, Peter Djurovich, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows (which are attached hereto collectively as Exhibit C), and I do not know of any facts or evidence which would contradict anything declared therein.

9. I further declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that

the undersigned acknowledges that any false statements and the like so made are punishable by fine or imprisonment or both under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of any patent that issues from U.S. Application Serial No. 09/883,734.

Date: \_\_\_\_\_

\_\_\_\_\_  
Sergey Lamansky

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. Serial No. : 09/883,734  
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Date:	_____
Signature:	_____
	Thomas F. Meagher (Reg. No. 29,831)

**DECLARATION UNDER 37 C.F.R. § 1.132**

SIR:

I, Marc A. Baldo, hereby declare and state as follows:

1. I, along with Mark E. Thompson, Peter Djurovich, Sergey Lamansky, Stephen R. Forrest and Paul E. Burrows, contributed to the conception of the subject matter disclosed and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001), which is a continuation-in-part of U.S. patent application no. 09/274,609 (filed on March

23, 1999), U.S. patent application no. 09/452,346 (filed on December 1, 1999), and U.S. patent application no. 09/311,126 (filed on May 13, 1999). I have read and understood each of these aforementioned U.S. patent applications. The currently pending claims in U.S. patent application no. 09/883,734 (filed on June 18, 2001) are attached hereto as Exhibit A.

2. I, along with Mark E. Thompson, Sergey Lamansky and Stephen R. Forrest, contributed to the conception of the subject matter disclosed in U.S. patent application no. 09/274,609 (filed on March 23, 1999), and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 100, 106-112, and 141. This subject matter was originally conceived by us prior to March 21, 1999.

3. I, along with Mark E. Thompson, Sergey Lamansky and Stephen R. Forrest, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 135-139. This subject matter was originally conceived by us prior to March 21, 1999.

4. I, along with Mark E. Thompson, Sergey Lamansky, Stephen R. Forrest and Paul E. Burrows, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 104 and 105.

5. I, along with Mark E. Thompson, Peter Djurovich, Sergey Lamansky, Stephen R. Forrest and Paul E. Burrows, contributed to the conception of the subject matter currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001) in claims 92-94, 98, 101-103 and 140.

6. I have read and understood the abstract entitled "Optical Properties of Pt (II) Cyclometalated Complexes in Polymer Matrices, Preparation and Potential Uses in OLEDs," by Sergey Lamansky and Mark E. Thompson, dated March 21-25, 1999, which is attached hereto as Exhibit B.

7. I have read and understood the unsigned Declarations under 37 C.F.R. § 1.132 of Mark E. Thompson, Peter Djurovich, Sergey Lamansky, Stephen R. Forrest and Paul E. Burrows (which are attached hereto collectively as Exhibit C), and I do not know of any facts or evidence which would contradict anything declared therein.

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Date: \_\_\_\_\_

\_\_\_\_\_  
Marc A. Baldo

PATENT  
[10020/15302]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Thomas F. Meagher (Reg. No. 29,831)

**DECLARATION UNDER 37 C.F.R. § 1.132**

SIR:

I, Paul E. Burrows, hereby declare and state as follows:

1. I, along with Mark E. Thompson, Peter Djurovich, Sergey Lamansky, Stephen R. Forrest and Marc A. Baldo, contributed to the conception of the subject matter disclosed and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001), which is a continuation-in-part of U.S. patent application no. 09/274,609 (filed on March



23, 1999), U.S. patent application no. 09/452,346 (filed on December 1, 1999), and U.S. patent application no. 09/311,126 (filed on May 13, 1999). I have read and understood each of these aforementioned U.S. patent applications. The currently pending claims in U.S. patent application no. 09/883,734 (filed on June 18, 2001) are attached hereto as Exhibit A.

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Date: \_\_\_\_\_

\_\_\_\_\_  
Paul E. Burrows

PATENT  
[10020/15302]

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DECLARATION UNDER 37 C.F.R. § 1.132

SIR:

I, Sergey Lamansky, hereby declare and state as follows:

1. I, along with Mark E. Thompson, Peter Djurovich, Stephen R. Forrest, Marc A. Baldo and Paul E. Burrows, contributed to the conception of the subject matter disclosed and currently claimed in U.S. patent application no. 09/883,734 (filed on June 18, 2001), which is a continuation-in-part of U.S. patent application no. 09/274,609 (filed on March 23, 1999),

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Date: Oct 9, 03

  
\_\_\_\_\_  
Sergey Lamansky